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PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
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| | ICERNING A SUBMISSIC | U.S. APPROXION 100 (800m, see 37 CFR 1.5) | | | | | | | | | |
|---|---|---|---|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/IB03/04070 | | INTERNATIONAL FILING DATE 18 September 2003 | PRIORITY DATE CLAIMED 19 September 2002 | | | | | | | | |
| TITLE OF | TITLE OF INVENTION VASCULAR FILTER WITH IMPROVED STRENGTH AND FLEXIBILITY | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US BESSELINK, Petrus | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 2. 🗆 1 | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 3. 🗆 1 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. 🔽 | The US has been elected (Article 31). | | | | | | | | | | |
| 5. 🔽 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| ŀ | b. | | | | | | | | | | |
| | c. is not required, as the applic | cation was filed in the United States Receive | ing Office (RO/US). | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | |
| | a. is attached hereto. | | | | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 7. | Amendments to the claims of the Inte | ernational Application under PCT Article 19 | (35 U.S.C. 371(c)(3)) | | | | | | | | |
| ! | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| Ţ | b. have been communicated | by the International Bureau. | | | | | | | | | |
| | c. have not been made; how | ever, the time limit for making such amendr | nents has NOT expired. | | | | | | | | |
| | d. have not been made and | will not be made. | | | | | | | | | |
| 8. 🗆 | An English language translation of the | ne amendments to the claims under PCT Ar | ticle 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. 🗹 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | |
| 10. | An English language translation of th Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary E | Examination Report under PCT | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | | |
| 11. | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. 🔲 | An assignment document for recording | ng. A separate cover sheet in compliance w | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | | | | | |
| 14. 🔽 | An Application Data Sheet under 37 | CFR 1.76. | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | |
| 16. 🔲 | A power of attorney and/or change of | f address letter. | | | | | | | | | |
| 17. 🔲 | A computer-readable form of the seq | uence listing in accordance with PCT Rule | 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| 18. | A second copy of the published Inter | national Application under 35 U.S.C. 154(d) |)(4). | | | | | | | | |
| 19. | A second copy of the English langua | ge translation of the international application | n under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 1 20 🔽 | Other items as information: Certifica | ite of Express Mail filing: check | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICAT | ION NO. (if known, see 3 | | ATTORNEY'S DOCKET NUMBER | | | | | | | |
|---|--|--|--------------------------|-------------------|------------|--------------|-----------------------|--|--|--|
| | 3/528U4 | 4 | | | | S 0009 PA | Office was sufficient | | | |
| 21. The following fees are submitted: | | | | | | 300.00 | Office use only | | | |
| a) Basic national fee\$300.00 | | | | | | 200.00 | | | | |
| b) Examination fee\$200.00 | | | | | | 500.00 | | | | |
| c) Search f | ee | \$ | | | | | | | | |
| | TOTAL OF ABOVE CA | \$ | 1,000.00 | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | | | | | | | |
| 80 - 100 = | 0 /50 = | | | x \$250.00 | \$ | -0- | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | | | |
| CLAIMS | NUMBER FI | LED | NUMBER EXTRA | RATE | \$ | | | | | |
| Total claims | 74 | - 20 = | 54 | x \$50.00 | \$ | 2,700.00 | | | | |
| Independent clai | ims 6 | - 3 = | 3 | x \$200.00 | \$ | 600.00 | | | | |
| MULTIPLE DEP | ENDENT CLAIM(S) (if ap | oplicable) | 0 | + \$360.00 | \$ | -0- | | | | |
| | | | TOTAL OF ABOVE C | | = \$ \$ | 4,300.00 | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | -2,150.00 | | | | |
| | | | | SUBTOTAL | = \$ | 2,150.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | -0- | | | | |
| <u> </u> | | = \$ | 2,150.00 | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | -0- | | | | |
| | · ··· | = \$ | 2,150.00 | | | | | | | |
| Amount to be refunded: | | | | | | | \$ | | | |
| | | ed 📗 | | \$ | | | | | | |
| a. A che | ck in the amount of \$ | 2,150.0 | to cover the above | e fees is enclose | ed. | | | | | |
| | b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | |
| SEND ALL COF | RRESPONDENCE TO: | | | | | <u>_</u> _ | 1 | | | |
| Dinsmore & Shohl, LLP | | | | | | | | | | |
| One Dayton Centre SIONATURE One South Main Street | | | | | | John D. Rood | | | | |
| Suite 1300 | | | | | | John D. Reed | | | | |
| Dayton, OH 4 | 5402 | | 46,506 | | | | | | | |
| (937) 449-6400 REGISTRATION NUMBER | | | | | | | | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants

BESSELINK, Petrus

Title

VASCULAR FILTER WITH IMPROVED STRENGTH AND

FLEXIBILITY

Docket No.

BES 0009 PA/40086.47

MAIL STOP PCT

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Date of Deposit

March 16, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450.

David Becket